

### **Operational Selection Policy OSP 57**

# **NUCLEAR DECOMMISSIONING AUTHORITY – SITE RECORDS**1940 onwards

**July 2013** 

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#### **Authority**

The National Archives Acquisition and Disposition policy statements published in 2000 (revised and updated in 2007 as the Acquisition and Disposition Strategy) announced the intention of developing, in consultation with departments, operational selection policies across government. These policies would apply the collection themes described in the policy to the records of individual departments and agencies.

Operational Selection Policies are intended to be working tools for those involved in the selection of public records. This policy may, therefore, be reviewed and revised in the light of comments received from the users of records or from archive professionals, the department's experience of using the policy, or as a result of newly discovered information.

This policy is a presentation version of a paper presented to The National Archives Records Decision Panel in February 2012. The policy provides background information on the responsibilities, work and records of the organisation. The latter have been analysed in order to provide a transparent record of decisions on the selection of records, in whatever format, for permanent preservation. Selection decisions are based on the requirement both to document the work of the organisation and to provide information about specific topics of potential long-term interest.

If you have any comments on this policy please email:-

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#### **Background**

The Nuclear Decommissioning Authority (NDA) was established in 2005 under the Energy Act 2004 with the remit of decommissioning and cleaning up civil nuclear facilities, ensuring that all the waste products, both radioactive and non-radioactive, are safely managed, implementing Government policy on the long-term management of nuclear waste, developing UK-wide nuclear Low Level Waste (LLW) strategy and plans and scrutinising decommissioning plans of British Energy. It owns 19 sites and the associated civil nuclear liabilities and assets, which includes records and information, of the public sector previously under the control of BNFL and UKAEA. Prior to 2005 UKAEA Dounreay, Harwell and ex-Windscale were the only sites whose records were designated public records under the Public Records Act 1958. A photo, film, video, image and sound archive on the Harwell site has Place of Deposit status.

#### The records

Apart from the strategic records the site records are operational in nature eg operating rules, inspections of the boundary fences and logs of the movement of nuclear matter on the site. They consist of files, papers, reports, inventories, plans, drawings, photographs, films and videos. Many are required to be created and held for long periods under the terms of nuclear site licence conditions or guidance on waste packaging for radioactive waste products.

The selection criteria have been developed initially for records from the Sellafield site and may need to be revised in the light of knowledge about the records from other sites.

#### The relevance of the Acquisition Criteria

The site records fall within two themes of the Acquisition Criteria:-

Theme 3.1.4 Regulation and support of economic activity by government, including industry, services, agriculture, transport, energy, trade and employment and productivity Theme 3.1.2 The impact of the state on the physical environment and the documentation of the physical environment by government

#### The selection criteria

A great deal of information from the sites is in the public domain eg The Inventory of Radioactive Waste in the UK which describes the sources, quantities and properties and how it is planned to be managed is published on the NDA website. The selection criteria are intended to document the technological history of the research, design and development of civil nuclear atomic energy, providing information on the overarching strategy for the management of the sites, the design and development of individual sites including the design of the plant, the stewardship of on and off—site environmental conditions, the investigation of major incidents and research and development projects which were particularly innovative.

The selection of a range of record types from the sites including the management overview, site history, environmental conditions, major incidents, projects and research will ensure that the purpose and operation of the sites is documented.

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#### The selection criteria

See attached table.

#### Potential value

The records are likely to have research value for the following reasons:They provide a history of the development and decommissioning of the sites
They provide a history of the technological development of nuclear energy science and systems

The NDA budget averages almost £3billion over the next four year period and it is appropriate for accountability and transparency reasons that records which reflect some of this expenditure are selected.

The selection of site records will complement the policy records relating to the development of civil nuclear power already selected and likely to be selected in the future. The latter reflect the Government's relationship with and support for the nuclear energy industry and cover issues such as the siting and selection of reactor types, nuclear fuel cycles, safety legislation and safeguards and the management of radioactive waste.

The records are likely to be of interest to researchers into nuclear energy systems, nuclear energy science and technology development and safety, security and environmental regulatory provision, environmental conditions in view of the possible safety risks associated with nuclear energy and local historians (the NDA is required under legislation to cooperate with local stakeholder groups). Architectural and historians of the built environment are likely to have a particular interest as buildings/plant/reactors are demolished following decommissioning.

#### **Disposition**

The work of the NDA is considered to be of national importance, with Government establishing the organisation to manage the decommissioning process through contracts to Parent Body Organisations and Site Licence Companies. For comparison, the Atomic Weapons Establishment, which is not part of the NDA estate, but is responsible for the UK nuclear defence policy, operations and capability, operates from a number of sites but its selected records are transferred to The National Archives. The NDA is aware of and supports recent initiatives to capture the history of the sites, eg through 5 oral histories, in conjunction with local archives. This could be viewed as a parallel initiative to the deposit of records at The National Archives.

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#### SELECTION CRITERIA - NUCLEAR DECOMMISSIONING AUTHORITY - SITE RECORDS

Series	Description	Record types	Selection
Strategy	Strategic	Agenda, minutes	Select all for
	overview and	and papers	Management
	development of	Project papers	Board, Executive
	strategies for :-	(see below)	Committees and
	management of	Memoranda of	any other relevant
	the site and its	Understanding	Committees with
	closure		the intention of
	organisational		capturing the
	change to cover		development of
	impact of new		the published
	Parent Body		strategies
	Organisations		The Document
	and Site Licence		Library on the
	Companies		Nuclear
	decommissioning		Decommissioning
	projects		Authority website
	commercial		which is regularly
	operations		crawled holds all
	health and safety		the published
	and security		major plans,
	culture and		strategies,
	philosophy		surveys and
	socio-economic		outcomes,
	plans		research
	research and		programmes
	development		. 0
	reprocessing		
	community		
	attitude to the site		
	and efforts to		
	improve		
	relationship with		
	the community		
	relationship with		
	the Nuclear		
	Decommissioning		
	Authority		
	relationship with		
	the regulators		
	(govern site		
	licence conditions		
	for health and		
	safety and waste		
	management)		

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Infrastructure	Acquisition of the site and its development up to Site End State (site suitable for next planned use) to include details of buildings, facilities, plant, pipelines, their location and use	Schedule and plans of buildings on site, photos, videos, film and oral histories eg of Chief Engineer, Chief Scientist	Select schedule and plans every 10 years up to the end state of the site when it passes into a state of long term care and maintenance
Environmental conditions	Protection of people and the environment and evidence of the controls in place as sites deal with the impact of the construction of atomic energy establishments and subsequently decommissioning	Studies, surveys, reports, monitoring of the environment both on and off site (land, air and sea) to ensure standards are met for its protection	Select snapshot every 2 years
Inquiries	Investigation of events/incidents outside normal operating parameters eg fatal accidents, explosions, failure of safety devices, radioactive contamination	Evidence, incident reports, testing of equipment, initial and final reports	Select investigation of those events which led to a Board of Inquiry
Projects	Management of projects	Business case, terms of reference, programme implementation plan and post implementation review, photos, videos and film	Select those projects which are a UK first, are pioneering, innovative, demonstrate development of technology or are critical to the support of reprocessing operations or decommissioning

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	Research to facilitate decommissioning	Findings, papers and reports	Select unpublished reports only
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